



2681

#5

Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/035,827

Filing Date

October 22, 2001

First Named Inventor

John E. McNulty

Group Art Unit

2681

MAY 13 2004

Examiner Name

(unknown)

RECEIVED

Attorney Docket Number

P-2192D1 Technology Center 2600

ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (w/ Recordation (for an Application) Coversheet)
- Drawing(s) (9 sheets)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):
 1. Nine cited references; and
 2. Return postcard.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

James D. Ivey, Reg. No. 37,016

Signature

Date

May 7, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

May 7, 2004

Typed or printed name

James D. Ivey, Reg. No. 37,016

Signature

Date

May 7, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In the Patent Application of: McNulty, et al.

MAY 13 2004

Serial No. 10/035,827

Art Unit: 2681

Technology Center 2600

Filed: October 22, 2001

Examiner: Unknown

For: **DATA SYNCHRONIZATION MECHANISM FOR INFORMATION BROWSING SYSTEMS**

Hon. Assistant Commissioner for Patents
Washington, D.C. 20231

I, JAMES D. IVEY, certify that this paper and the enclosed: information disclosure statement form, nine (9) disclosed documents, and return postcard are being deposited with the U.S. Postal Service with sufficient postage as First Class Mail addressed to The Honorable Assistant Commissioner for Patents, Washington, D.C. 20231 on May 7, 2004.

May 7, 2004


James D. Ivey, Reg. No. 37,016

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT**FILED UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)**

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

1. U.S. Patent 6,560,640 to Smethers;
2. U.S. Patent Application Publication 2002/0123368 to Yamadera et al.;
3. U.S. Patent 6,134,548 to Gottsman et al.;
4. U.S. Patent 6,321,257 to Kotola et al.;
5. U.S. Patent 6,542,812 to Obradovich et al.;
6. U.S. Patent 6,501,956 to Weeren et al.;
7. U.S. Patent 6,546,002 to Kim;

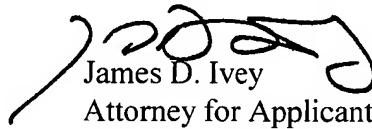
8. U.S. Patent 5,895,471 to King et al.; and
9. U.S. Patent 6,401,085 to Gershman et al.

Citation of the above documents shall not be construed as an admission that any of the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this paper. No Office Action on the merits has been received. This paper is submitted under 37 C.F.R. § 1.97(b) or, alternatively, 37 CFR § 1.97 (c) (1). Accordingly, no fee is believed to be required.

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (510) 336-1100.

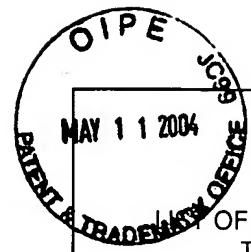
Respectfully submitted,



James D. Ivey
Attorney for Applicant
Reg. No. 37,016

LAW OFFICES OF JAMES D. IVEY
3025 Totterdell Street
Oakland, California 94611-1742
Voice: (510) 336-1100
Facsimile: (510) 336-1122

E:\LawPractice\Files\2192p\D1\05ids\IDScover.wpd



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF DOCUMENTS CITED BY APPLICANT TO COMPLY WITH 37 C.F.R. 1.56				ATTY. DOCKET NO. P-2192D1	SERIAL NO. 10/035,827		
				APPLICANT McNulty, et al.			
				FILING DATE October 22, 2001	GROUP 2681		

U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,560,640	5/6/03	Smethers	709	219	
	AB	2002/0123368	9/5/02	Yamadera et al.	455	556	8/20/01
	AC	6,134,548	10/17/00	Gottzman et al.	707	5	
	AD	6,321,257	11/20/01	Kotola et al.	709	219	
	AE	6,542,812	4/1/03	Obradovich et al.	701	207	
	AF	6,501,956	12/31/02	Weeren et al.	455	463	
	AG	6,546,002	4/8/03	Kim	370	351	
	AH	5,895,471	4/20/99	King et al.	707	104	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES
	AI						
	AJ						
	AK						
	AL						
	AM						

RECEIVED

MAY 13 2004

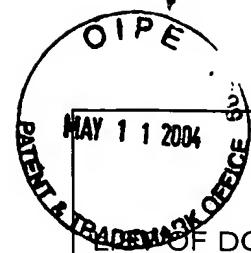
Technology Center 2600

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

AN		
AO		

EXAMINER	DATE CONSIDERED
----------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO. P-2192D1	SERIAL NO. 10/035,827
LIST OF DOCUMENTS CITED BY APPLICANT TO COMPLY WITH 37 C.F.R. 1.56			APPLICANT McNulty, et al.	
			FILING DATE October 22, 2001	GROUP 2681

U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,401,085	6/4/02	Gershman et al.	707	4	
	AB						
	AC						
	AD				RECEIVED		
	AE						MAY 13 2004
	AF						Technology Center 2600
	AG						
	AH						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AI							
	AJ							
	AK							
	AL							
	AM							

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	AN		
	AO		

EXAMINER	DATE CONSIDERED
----------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.